



# NERC Compliance Gap Analysis & Pre-Audit Survey

## CURRENT SITUATION

- 182 NERC RELIABILITY STANDARDS
- OVER 700 ENFORCEABLE REQUIREMENTS
- MAXIMUM FINE UP TO \$1 MILLION PER DAY

## CURRENT CHALLENGE

- ESTABLISH A COMPLIANCE SYSTEM
- UNDERSTAND THE COMPLEXITY OF STANDARDS
- PERFORM AUDITABLE COMPLIANT GAP ANALYSIS
- ADDRESS GAPS
- KEEP UP WITH NEW/REVISED STANDARDS

## OUR SOLUTION

- REVIEW OF EACH REQUIREMENT OF EACH RELIABILITY STANDARD
- COMPARING YOUR FACILITY DOCUMENTS, PROCEDURES AND BUSINESS PROCESSES TO EACH REQUIREMENT
- CONFIRMING COMPLIANCES WITH EACH REQUIREMENT BASED ON DOCUMENTATION
- RECOMMENDING SOLUTIONS FOR ANY IDENTIFIED COMPLIANCE RISK
- REVIEW ASSESSMENT OF EACH REQUIREMENT WITH YOUR FACILITY
- IDENTIFY TOLLS OR OTHER MECHANISMS FOR ONGOING MONITORING OF EACH REQUIREMENT APPLICABLE TO YOUR FACILITY

## CONTINUING COMPLIANCE SUPPORT

- FOLLOWING DEVELOPMENTS AT NERC, WECC, & FERC
- COMMUNICATING IDENTIFIED CHANGES AND DEVELOPMENTS TO CLIENTS
- REVIEWING AND UPDATING PROCEDURES AND BUSINESS PRACTICES
- CONDUCTING NECESSARY TRAINING

Rocky Mountain Power Services, Inc.  
6477 US Hwy 93 South  
Suite 143  
Whitefish, MT 59937

Phone (406) 257-5770  
Fax (406) 257-5424

Email: [Info@rmpsinc.com](mailto:Info@rmpsinc.com)

Entities registered with the North American Electric Reliability Corporation (“NERC”) continue to wrestle with the complexities of the NERC reliability implementation, compliance and enforcement process. Full audit schedules within each Regional Entity ensure that the stakes remain high for Registered Entities.

NERC reliability standards are designed to ensure that the right practices are in place so the likelihood and severity of future bulk power system disturbances are substantially reduced.

RMPS, Inc. provides experienced personnel to conduct Gap Analysis Surveys, which utilizes a process based on DOE Best Practices and Methodology.

A detailed review will be conducted to determine which Standards are applicable to your facility based on your NERC registration as a Load Serving Entity, Distribution Provider, Generation Owner and/or Operator.

## KEY AREAS OF CONSIDERATION

- Identify which standards you must comply with. Keep in mind that some standards are not yet final and even those that have been approved are subject to change
- Identify data needed to demonstrate Compliance and what tools and processes will be needed to manage the Compliance Process
- Identify key individuals responsible for each standard
- Assess Current versus fully Compliant Status and identify gaps
- Prepare a plan to remedy each deficiency
- Prioritize deficiency remedies
- Implement remedies
- Ensure ongoing data compilation for Compliance

Any new regulatory requirements pose risks and the implementation of NERC reliability standards compliance is no exception. Entities that act early and proactively to be certified compliant will benefit in many ways. Most importantly they will establish a positive relationship with NERC auditors and rule setting committees that will enhance their influence in setting further reliability compliance standards.

Our NERC Reliability Compliance Engineers are available to answer any questions you may have regarding the ever changing reliability compliance arena.